



## COUNCIL

Tuesday 23 November 2011

## MINUTES

### *PRESENT:*

Sir Stephen Wall (*Chair*)

Professor David Attwell	Dr Bob Barber
Ms Anne Bulford ( <i>Treasurer</i> )	Mr Luke Durigan
Ms Philippa Foster Back	Professor Malcolm Grant
Mr Mark Knight	<i>(President and Provost)</i>
Ms Vivienne Parry ( <i>Vice-Chair</i> )	Mr Tim Rees Jones
Ms Katharine Roseveare	Dr Benet Salway
Dr Gill Samuels	Dr Stephanie Schorge
Professor Chris Thompson	Baroness Warwick of Undercliffe
Professor Maria Wyke	

*In attendance:* Mr Rex Knight (Vice-Provost (Operations)); Ms Lori Manders (Director, Development and Alumni Relations); Mr Nick McGhee (Assistant Secretary to Council); Mr Tim Perry (Secretary to Council); Professor David Price (Vice-Provost (Research)); Mr Nigel Waugh (Director of Human Resources); Mrs Alison Woodhams (Director of Finance).

Apologies for absence were received from Mr Rob Holden and Professor Nick Tyler.

*Any member of Council who had (or who knew of a family member who had) a material, personal, financial or other beneficial interest in any item on the Agenda was requested to declare that interest at the beginning of the meeting in order that such declaration could be recorded in these Minutes.*

*Key to abbreviations used in these Minutes:*

FC	Finance Committee
HEFCE	Higher Education Funding Council for England
HEI	higher education institution
HR	Human Resources
REF	Research Excellence Framework

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**Preliminary Formal Business**

**RESOLVED**

20.5           **That Council approve the 2011 UCL Research Strategy.**

**21    AUDIT COMMITTEE – ANNUAL REPORT**

***Received***

21.1           APPENDIX C 2/19 (11-12) – the Audit Committee annual report 2010-11 with, at Annexe 2, the Internal Audit annual report 2010-11.

21.2           An oral report from Mark Knight, Chair of Audit Committee.

***Noted***

21.3           The terms of reference of the Audit Committee provided for the presentation of an annual report to the Provost and to Council, the annual report thereafter to be forwarded to the Higher Education Funding Council for England.

21.4           According to the provisions of the HEFCE Audit Code of Practice, Council was expected formally to approve the Annual Report of the Audit Committee before it approved the Annual Financial Statements [see Minute 22 below].

***Reported***

21.5           At its meeting on 21 November 2011 the Audit Committee had confirmed its satisfaction with the effectiveness of UCL's internal control systems for the year ending 31 July 2011. KPMG, who had been appointed internal auditors on 1 March 2011, had given an unqualified opinion that UCL had satisfactory arrangements in order to provide assurance to Council over the effectiveness and adequacy of the risk management, control and governance processes, and adequate arrangements in place to promote economy, efficiency and effectiveness.

***RESOLVED*** – on the recommendation of Audit Committee

21.6           **That Council approve the Audit Committee annual report 2010-11 and the Internal Audit annual report 2010-11.**

**22    ANNUAL FINANCIAL STATEMENTS**

***Received***

22.1           APPENDIX C 2/20 (11-12) – the draft audited Financial Statements for 2010-11;

APPENDIX C 2/20 (11-12) – Annexe 1 – a commentary on the Financial Statements by the Director, Financial Control and Analysis;





expressed about the lack of formal mechanisms for consultation with trade union representatives in particular. One suggestion made was that a joint consultation committee, including trade union representation, be established alongside the Equalities and Diversity Committee.

- It was suggested that the word 'people' could usefully be replaced by 'staff' in the designation of proposed new committees.
- It was agreed that further clarification of the terms of reference and composition of the bodies concerned would be required.

prepared<sup>2</sup>.

25B



28 **ACADEMIC UNITS OF UCL**

*Received*

- 28.1 APPENDIX C 2/25 (11-12) – a note by the Secretary to Council, introducing proposed amendments to the schedule of formally established academic units of UCL.

**RESOLVED – on the recommendation of Academic Board**

- 28.2 **That, in accordance with Statute 10, Council approve the following:**
- **that the School of Pharmacy be established as an academic unit of UCL, assigned to the Faculty of Life Sciences, with effect from 1 January 2012;**
  - **that UCL Australia be established as an academic unit of UCL, outwith the faculty structure but reporting to the Vice-Provost (International) and ultimately to the Provost, with effect from 1 January 2012;**
  - **that the UCL School of Energy and Resources be disestablished as an academic unit of UCL with effect from 1 January 2012.**

29 **REGULATIONS FOR MANAGEMENT – AMENDMENTS**

*Received*

- 29.1 APPENDIX C 2/26 (11-12) – a note by the Secretary to Council, introducing proposed amendments to UCL Regulations for Management.

**RESOLVED**

- 29.2 **That the amendments to Regulation for Management as set out in the Secretary’s note at APPENDIX C 2/26 (11-12) be approved.**



35 **CHAIR'S ACTION ON BEHALF OF COUNCIL**

***Received***

- 35.1 APPENDIX C 2/33 (11-12) – a note summarising action taken by the Chair since the last report to Council.

Tim Perry  
Secretary to Council (and Director of Registry and Academic Services)  
December 2011